

Format of holding of specified securities

1. Name of Listed Entity: **MAITHAN ALLOYS LTD. (CIN:L27101WB1985PLC039503)**

2. Scrip Code/Name of Scrip/Class of Security:

a.	Scrip Code :	<b>CSE</b> 10023915	<b>BSE</b> 590078	<b>NSE</b> MAITHANALL - EQ
b.	Name of Scrip:	Maithan Alloys Limited	Maithan Alloys Limited	Maithan Alloys Limited
c.	Class of Security:	Equity	Equity	Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a.	If under 31(1)(b) then indicate the report for Quarter ending:	<b>30<sup>th</sup> September, 2017</b>
b.	If under 31(1)(c) then indicate date of allotment/extinguishment	<b>N.A.</b>

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?		<input checked="" type="checkbox"/>
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		<input checked="" type="checkbox"/>
3	Whether the Listed Entity has any shares against which depository receipts are issued?		<input checked="" type="checkbox"/>
4	Whether the Listed Entity has any shares in Locked-in?		<input checked="" type="checkbox"/>
5	Whether any shares held by promoters are pledge or otherwise encumbered?		<input checked="" type="checkbox"/>



5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities as on 30<sup>th</sup> September, 2017

Category (I)	Category of shareholder (II)	Nos. of shares held (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of (A+B+C)	No. of shares underlying convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class: Equity	Total				No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter group	29	20340173	-	-	20340173	69.87	20340173	20340173	69.87	-	69.87	-	-	NA	NA	20340173
(B)	Public	10867	8771377	-	-	87,71,377	30.13	8771377	8771377	30.13	-	30.13	-	-	NA	NA	8493214
(C)	Non Promoter - Non Public	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C1)	Shares underlying DRS	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(C2)	Shares held by Employee Trusts	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
	<b>Total</b>	<b>10896</b>	<b>29111550</b>	<b>-</b>	<b>-</b>	<b>29111550</b>	<b>100.00</b>	<b>29111550</b>	<b>29111550</b>	<b>100.00</b>	<b>-</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>NA</b>	<b>NA</b>	<b>28833387</b>



Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30<sup>th</sup> September, 2017

(1)	Category & Name of the Shareholders (i)	PAN (ii)	Nos. of sharehold- ers (iii)	No. of fully paid up equity shares held (iv)	Party paid-up equity shares held (v)	Nos. of underlying Depository Receipts (vi)	Total nos. share s held (vii)=(iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)		Total as a % of Voting rights	No. of shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) As a % of A+B+C2	Number of Locked in shares (xii)		Number of shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerial- ized form (xiv)	
									Class: Equity	Total				As a % of total shares held (a)	No. of shares held (b)	As a % of total shares held (a)	No. of shares held (b)		
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		21	6586466			6586466	22.97	6586466	6586466	22.97		22.97					6586466	
	Promoter:																		
	SUBHAS CHANDRA AGARWALLA	ACMPA9305J	1	99250	-	-	99250	0.34	99250	99250	0.34	-	0.34	-	-	-	-	99250	
	Persons Acting in Concert																		
	SHANKAR LAL AGARWALLA	AGAPA5187A	2	907601	-	-	907601	3.12	907601	907601	3.12	-	3.12	-	-	-	-	907601	
	PRAHLAD RAI AGARWALLA	ACMPA9299D	2	851200	-	-	851200	2.92	851200	851200	2.92	-	2.92	-	-	-	-	851200	
	SARITA DEVI AGARWALLA	ACXPD9986M	2	829000	-	-	829000	2.85	829000	829000	2.85	-	2.85	-	-	-	-	829000	
	RITA DEVI	ACXPD9986F	2	752000	-	-	752000	2.58	752000	752000	2.58	-	2.58	-	-	-	-	752000	
	SHEELA DEVI AGARWALLA	ACMPA9298C	1	667250	-	-	667250	2.29	667250	667250	2.29	-	2.29	-	-	-	-	667250	
	AVINASH AGARWALLA	ACMPA9308F	2	583350	-	-	583350	2.00	583350	583350	2.00	-	2.00	-	-	-	-	583350	
	SUDHANSHU AGARWALLA	ACMPA9309E	1	564450	-	-	564450	1.94	564450	564450	1.94	-	1.94	-	-	-	-	564450	
	MANI DEVI	ACMPA9298N	1	176174	-	-	176174	0.61	176174	176174	0.61	-	0.61	-	-	-	-	176174	
	SUCBODH AGARWALLA	ACMPA9298M	1	359250	-	-	359250	1.23	359250	359250	1.23	-	1.23	-	-	-	-	359250	
	PRAHLAD RAI AGARWALLA (HUF)	AADHP9830K	1	332100	-	-	332100	1.14	332100	332100	1.14	-	1.14	-	-	-	-	332100	
	SIDDHARTHA SHANKAR AGARWALLA	IADAPA5039L	2	291165	-	-	291165	1.00	291165	291165	1.00	-	1.00	-	-	-	-	291165	
	SONAM AGARWALLA	ABYFC6860F	1	110000	-	-	110000	0.38	110000	110000	0.38	-	0.38	-	-	-	-	110000	
	SUBHAS CHANDRA AGARWALLA (HUF)	AAMHS9767E	1	97500	-	-	97500	0.33	97500	97500	0.33	-	0.33	-	-	-	-	97500	
	SMRITI AGARWALLA	AGOPA8717A	1	66176	-	-	66176	0.23	66176	66176	0.23	-	0.23	-	-	-	-	66176	
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (specify):																		
	Bodies Corporate		8	13653707			13653707	46.90	13653707	13653707	46.90		46.90					13653707	
	Persons Acting in Concert																		
	MAITHAN SMLTERS PRIVATE LIMITED	AAOCM9380J	1	5397357	-	-	5397357	18.54	5397357	5397357	18.54	-	18.54	-	-	-	-	5397357	
	H. S. CONSULTANCY PRIVATE LIMITED	AAACH6512P	2	3259200	-	-	3259200	11.20	3259200	3259200	11.20	-	11.20	-	-	-	-	3259200	
	SUMEE TRADING PRIVATE LIMITED	AAACS8921J	1	1391100	-	-	1391100	4.78	1391100	1391100	4.78	-	4.78	-	-	-	-	1391100	
	AAKLAVYA (INDIA) PRIVATE LIMITED	AACCA1742N	1	1274500	-	-	1274500	4.38	1274500	1274500	4.38	-	4.38	-	-	-	-	1274500	
	SHAKTI AUTO FINANCE PRIVATE LTD.	AAACS5426J	1	1067800	-	-	1067800	3.67	1067800	1067800	3.67	-	3.67	-	-	-	-	1067800	
	JIBRAL TER TRADERS LIMITED	AAACJ65986F	1	993750	-	-	993750	3.41	993750	993750	3.41	-	3.41	-	-	-	-	993750	
	UNMUKT TRACOM PVT LTD	AAACU3282F	1	270000	-	-	270000	0.93	270000	270000	0.93	-	0.93	-	-	-	-	270000	
	Sub Total (A1)		29	20340173			20340173	69.87	20340173	20340173	69.87		69.87					20340173	
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Other (specify)																		
	Sub Total (A2)																		
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		29	20340173			20340173	69.87	20340173	20340173	69.87		69.87					20340173	
	Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.:																		NONE

Notes: Mr. S. C. Agarwalla is the Promoter of the Company. Other shareholders shown in the Promoter Group are Persons Acting in Concert with the Promoter. Avinash Agarwalla, Prahlad Rai Agarwalla, Rita Devi, Sarita Devi, Agarwalla, Shankar Lal Agarwalla, Siddharta Shankar, Agarwalla and H. S. Consultancy Private Limited (member of Promoter Group) are holding their equity shares in multiple Demat accounts and holdings of each such member has been consolidated on the basis of their PAN for the purpose of reporting in this Shareholding Pattern.



Table III - Statement Showing shareholding pattern of the Public shareholder as on 30<sup>th</sup> September, 2017

Category & Name of the Shareholders (i)	PAN (ii)	Nos. of Shares (iii)	No. of fully paid up shares held (iv)	Partly paid-up equity shares held (v)	Nos. of Shares underlying Depository Receipts (vi)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % As a % of (VIII)	Number of Voting Rights held in each class of securities (X)		No. of Shares Underlying outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)(XI) = (VII)+(X) As a % of A+B+C2)	Number of Locked in Shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	Note
								Class: Equity	Total						
(1) Institutions															
(a) Mutual Funds / UTI		2	8,488	-	-	8,488	0.03	8,488	8,488	0.03	-	-	NA	8,488	
(b) Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(c) Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(d) Foreign Venture Capital Investors		5	1,33,712	-	-	1,33,712	0.46	1,33,712	1,33,712	0.46	-	-	NA	1,33,712	
(e) Foreign Portfolio Investors		3	60,833	-	-	60,833	0.21	60,833	60,833	0.21	-	-	NA	60,833	
(f) Financial Institutions / Banks		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(g) Insurance Companies		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(h) Provident Funds / Pension Funds		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(i) Any Other (Specify)		-	-	-	-	-	-	-	-	-	-	-	NA	-	
Sub Total (B)(1)		10	2,03,033	-	-	2,03,033	0.70	2,03,033	2,03,033	0.70	-	-	NA	2,03,033	
(2) Central Government / State Government(s) / President of India		-	-	-	-	-	-	-	-	-	-	-	NA	-	
Sub Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(3) Non-Institutions															
(a) Individuals- i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		10086	43,88,927	-	-	43,88,927	15.08	43,88,927	43,88,927	15.08	-	-	NA	41,10,764	
ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		10055	32,95,187	-	-	32,95,187	11.32	32,95,187	32,95,187	11.32	-	-	NA	30,17,024	
(b) NBFCs registered with RBI		4	2,125	-	-	2,125	0.01	2,125	2,125	0.01	-	-	NA	2,125	
(c) Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(d) Overseas Depositories (holding DRs) (balancing figure)		-	-	-	-	-	-	-	-	-	-	-	NA	-	
(e) Any Other (Specify) -		767	41,77,292	-	-	41,77,292	14.35	41,77,292	41,77,292	14.35	-	-	NA	41,77,292	
ij Bodies Corporate		3001	33,40,639	-	-	33,40,639	11.48	33,40,639	33,40,639	11.48	-	-	NA	33,40,639	
JKYOBNA INVESTMENT LIMITED		1	5,36,304	-	-	5,36,304	1.84	5,36,304	5,36,304	1.84	-	-	NA	5,36,304	
jj Clearing Member		176	7,00,922	-	-	7,00,922	2.41	7,00,922	7,00,922	2.41	-	-	NA	7,00,922	
BMA Wealth Creators Ltd.		1	5,15,690	-	-	5,15,690	1.77	5,15,690	5,15,690	1.77	-	-	NA	5,15,690	
llll Non Resident Individual		291	1,35,731	-	-	1,35,731	0.47	1,35,731	1,35,731	0.47	-	-	NA	1,35,731	
Sub Total (B)(3)		10857	85,68,344	-	-	85,68,344	29.43	85,68,344	85,68,344	29.43	-	-	NA	82,90,181	
Total Public Shareholding		10867	87,71,377	-	-	87,71,377	30.13	87,71,377	87,71,377	30.13	-	-	NA	84,93,214	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):															
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in dematerialized suspense account, voting rights which are frozen etc.:															

Note: Shareholding of Public shareholders has not been consolidated on the basis of PAN/Folio no. for the purpose of reporting in this Shareholding Pattern as various shareholders hold the share as joint-holder in multiple folios and/or in multiple demat accounts.



Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30th September, 2017

(1)	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in		Total as a % of Total Voting rights (IX)	No. of shares of underlying convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged (Not applicable) (XIII)	Number of equity shares held in dematerialized form (Not Applicable) (XIV)
									Class: Equity	Total				No.	As a % of total shares held		
(1)	Custodian / DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-
	Total Non Promoter - Non Public Shareholding (C)=(C1)+(C)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	NA	-

